# On the number of ideals which norm is a binary form of degree 3

Alexandre Lartaux

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- $\mathcal{R}$  is a domain of  $\mathbb{R}^2$ .
- For  $\xi > 0$  and  $\mathcal{R}$  a domain of  $\mathbb{R}^2$ , we denote

$$\mathcal{R}(\xi) := \Big\{ \boldsymbol{x} \in \mathbb{R}^2 : \frac{1}{\xi} \boldsymbol{x} \in \mathcal{R} \Big\}.$$

We look for an asymptotic estimate, when  $\xi \rightarrow \infty$ , of

$$Q(\xi, \mathcal{R}, F) := \sum_{\boldsymbol{x} \in \mathbb{Z}^2 \cap \mathcal{R}(\xi)} r_3(F(\boldsymbol{x})),$$

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$$(f*g)(n) = \sum_{d|n} f(d)g\left(\frac{n}{d}\right).$$

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(H3)  $\forall \boldsymbol{x} \in \mathcal{R}, |F(\boldsymbol{x})| \leq 1;$ 

(H4) The form F is irreducible over  $\mathbb{K}$ .

#### Theorem (L., work in progress)

Let  $\xi > 0$ ,  $\mathbb{K}$ ,  $\chi$ , F and  $\mathcal{R}$  as before, such that (H1), (H2), (H3) and (H4) are checked.

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$$Q(F,\xi,\mathcal{R}) = K(F)L(1,\chi)L(1,\chi^2)\operatorname{vol}(\mathcal{R})\xi^2 + O\left(\frac{\xi^2}{(\log\xi)^{0,0034}}\right) \quad (1)$$

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$$\mathcal{K}(F) := \mathcal{K}_q(F) \prod_{p \nmid q} \mathcal{K}_p(F).$$
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where

$$K(F) := K_q(F) \prod_{p \nmid q} K_p(F).$$
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The constant  $K_p(F)$  and  $K_q(F)$  are explicit. Furthermore, if the ring  $O_{\mathbb{K}}$  is principle, we have a geometric interpretation of the constants  $K_q(F)$  and  $K_p(F)$  for  $p \nmid q$ .

The proof of this theorem is based on methods developed by La Bretèche and Tenenbaum.

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To prove it, we need some results on an arithmetic function called Hooley's Delta function.

#### Definition

For  $n \ge 1$  and  $(u_1, u_2) \in \mathbb{R}^2$ , we define

$$\Delta_3(n, u_1, u_2) := \sum_{\substack{d_1 d_2 \mid n \\ e^{u_i} < d_i \leqslant e^{u_i+1}}} 1,$$

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and

$$\Delta_3(n) := \max_{(u_1, u_2) \in \mathbb{R}^2} |\Delta_3(n, u_1, u_2)|.$$

Theorem (Hall and Tenenbaum, '85)

For a "nice" arithmetic function g, we have

$$\sum_{n\leqslant x}g(n)\Delta_3(n)\ll x(\log x)^{o(1)}.$$

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A "nice" function is a positive and multiplicative function g which satisfies a prime number theorem with mean value over prime number equals to 1.

#### Example

In our case, if we put  $\tilde{F}(x) = F(x, 1)$ , the function  $\rho_{\tilde{F}}$  is a "nice" function, because  $\tilde{F}$  is irreducible over  $\mathbb{Q}$ .

#### Definition

For  $n \ge 1$ ,  $(u_1, u_2, v_1, v_2) \in \mathbb{R}^2 \times [0, 1]^2$ , and  $f_1, f_2$  two arithmetic functions, we define

$$\Delta_3(n, f_1, f_2, u_1, v_1, u_2, v_2) := \sum_{\substack{d_1 d_2 \mid n \\ e^{u_i} < d_i \leqslant e^{u_i + v_j}}} f_1(d_1) f_2(d_2),$$

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#### Theorem (L., work in progress)

If  $\chi_1$  and  $\chi_2$  are two non trivial Dirichlet caracters such that  $\chi_1 \overline{\chi_2}$  is non trivial, then, for a "good" arithmetic function g, we have

$$\sum_{n\leqslant x}g(n)\Delta_3(n,\chi_1,\chi_2)^2\ll x(\log x)^{\rho+o(1)},$$

where  $\rho \approx 0,218$ .

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A "good" function is a positive and multiplicative function g which satisfies a prime number theorem with mean value over prime number equals to 1, but also the following equality.

$$\sum_{p\leqslant x}g(p)f(p)=O(x\,\mathrm{e}^{-c\sqrt{\log x}})$$

for 
$$f = \chi_1$$
,  $f = \chi_2$  and  $f = \chi_1 \overline{\chi_2}$ .

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In our case, for  $\chi_1 = \chi$ ,  $\chi_2 = \chi^2$  and  $\tilde{F}(x) = F(x, 1)$ , the function  $\rho_{\tilde{F}}$  is a "good" function, according to (H4). Indeed, we have  $\chi^3 = 1$ , so  $\overline{\chi^2} = \chi$ .

# Sketch of proof- First step : a good approximation

We use the convolution identity

$$(1 * \chi * \chi^2)(n) = \sum_{d_1 d_2 \mid n} \chi(d_1) \chi^2(d_2),$$

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where

$$\Lambda(s,F) := \{(m,n) \in \mathbb{Z}^2 : s \mid F(m,n)\}.$$

We look for a good approximation of the quantity

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For  $s \in \mathbb{N}^*$ , we define

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ho_F^+(s) := \sum_{\substack{1\leqslant a,b\leqslant s\ F(a,b)\equiv 0 egin{array}{c} \mathsf{n} \mathsf{od} \ s \end{array}} 1,$$

#### Lemma (Daniel, 1999)

Let F a binary form of degree 3 irreducible over  $\mathbb{Q}.$  We have

$$\sum_{\substack{1 \leq d_{1} \leq y_{1} \\ 1 \leq d_{2} \leq y_{2} \\ (q,d_{1}d_{2})=1}} \sup_{\mathcal{R}} \left| |\Lambda(d_{1}d_{2},F) \cap \mathcal{R}(\xi)| - \operatorname{vol}(\mathcal{R})\xi^{2} \frac{\rho_{F}^{+}(d_{1}d_{2})}{d_{1}^{2}d_{2}^{2}} \right|$$

$$(3)$$

where the supremum is taken on the set of domains  $\mathcal{R}$  which check hypothesis (H1), (H2) and (H3).

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(3)  
$$\lesssim \left(\xi \sqrt{y_1 y_2} + y_1 y_2\right) \log(\xi)^{o(1)}.$$

where the supremum is taken on the set of domains  $\mathcal{R}$  which check hypothesis (H1), (H2) and (H3).

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$$\leqslant (\xi \sqrt{y_1y_2} + y_1y_2) \log(\xi)^{o(1)}.$$

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The proof of this lemma uses an approximation of the number of points of a lattice in an open convex domain, this is why we need (H1). It also uses the theorem of Hall and Tenenbaum on the function  $\Delta_3$ .

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Corollary

Let  $y \ge 2$ , and F as before. We have, for  $u \in \mathbb{R}^+$ 

$$\sum_{\substack{1 \leq d_1 d_2 \leq y \\ e^{u} < d_2 \leq e^{u+1} \\ (q, d_1 d_2) = 1}} \sup_{\mathcal{R}} \left| |\Lambda(d_1 d_2, F) \cap \mathcal{R}(\xi)| - \operatorname{vol}(\mathcal{R}) \xi^2 \frac{\rho_F^+(d_1 d_2)}{d_1^2 d_2^2} \right|$$

$$\ll (\xi \sqrt{y} + y) \log(\xi)^{o(1)}.$$
(4)

Corollary

Let A > 0 and F as before. If  $2 \leq y \leq \xi^A$ , we have we have

$$\sum_{\substack{1 \leq d_1 d_2 \leq y \\ (q, d_1 d_2) = 1}} \sup_{\mathcal{R}} \left| |\Lambda(d_1 d_2, F) \cap \mathcal{R}(\xi)| - \operatorname{vol}(\mathcal{R}) \xi^2 \frac{\rho_F^+(d_1 d_2)}{d_1^2 d_2^2} \right|$$

$$\ll_A (\xi \sqrt{y} + y) (\log \xi)^{1 + o(1)}.$$
(5)

$$Q(\xi, \mathcal{R}, F) = \sum_{(d_1, d_2) \in (\mathbb{N}^*)^2} \chi(d_1) \chi^2(d_2) |\Lambda(d_1 d_2, F) \cap \mathcal{R}(\xi)|,$$

e can control the contribution of  $(d_1, d_2)$  which are well bounded.

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we can control the contribution of  $(d_1, d_2)$  which are well bounded. We want to make a "variable change" in the sum  $(1 * \chi * \chi^2)(F(\mathbf{x}))$ , putting  $d_3 = F(\mathbf{x})/(d_1d_2)$ .

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# Sketch of proof- Second step : Parametrization $q = cond(\chi)$

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# Sketch of proof- Second step : Parametrization $q = \operatorname{cond}(\chi)$ For n s.t (n,q) = 1,

$$(1 * \chi * \chi^2)(n) \neq 0$$
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If  $d \mid q^{\infty}$  then  $\forall n \ge 1$ 

$$(1 * \chi * \chi^2)(dn) = (1 * \chi * \chi^2)(n).$$

$$Q(F,\xi,\mathcal{R}) = \sum_{d|q^{\infty}} \sum_{d_1|q^{\infty}} \sum_{\alpha \in G_1} \sum_{d_2|q^{\infty}} \sum_{\beta \in W_{\alpha,d_1,d_2}} Q_2\left(F_{\beta,d_1,d_2},\frac{\xi}{d},\mathcal{R}_{\beta,d_1,d_2}\right)$$
(6)

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where  $F_{\beta,d_1,d_2}$  and  $\mathcal{R}_{\beta,d_1,d_2}$  are built from *F* and  $\mathcal{R}$  by linear transformation,

$$\begin{split} W_{\alpha,d_1,d_2} &:= \{\beta \in \mathbb{Z}/d_2 q \mathbb{Z} : (\beta,d_1) = 1, \, F(d_1,\beta) \equiv \alpha d_2 \, \operatorname{mod} \, d_2 q \}, \\ G_1 &:= \operatorname{Ker}(\chi) \subset (\mathbb{Z}/q \mathbb{Z})^{\times} \\ Q_2(F,\xi,\mathcal{R}) &:= \sum_{(m,n) \in \mathcal{R}(\xi)} r_3(F(m,n)) \end{split}$$

In order to estimate  $Q_2(F, \xi, \mathcal{R})$ , we write

$$Q_2(F,\xi,\mathcal{R}) = \sum_{(d_1d_2,q)=1} \chi(d_1)\chi^2(d_2)|\Lambda(d_1d_2,F) \cap \mathcal{D}_q \cap \mathcal{R}(\xi)|$$

and we put

$$\begin{split} z_{1} &:= \xi(\log \xi)^{-4}, \\ z_{2} &:= \xi(\log \xi)^{-2\delta}, \\ z_{3} &:= \xi(\log \xi)^{\delta}, \\ z_{4} &:= \xi(\log \xi)^{3} \end{split} \tag{7}$$

with  $\delta = 0,0069$ . Then we make the following partition on the sum over  $(d_1, d_2)$ ,



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The contribution of  $(d_1, d_2) \in D_7 \cup D_8$  is dealt with a change of variable.

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The contribution of  $(d_1, d_2) \in D_7 \cup D_8$  is dealt with a change of variable. The contribution of  $(d_1, d_2) \in D_5$  can't be dealt with the lemma or the corollary. This is why we need the result on the function twisted by two Dirichlet caracters.

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Only the contributions of  $(d_1, d_2) \in D_1$  and  $(d_1, d_2) \in D_8$  count for the main term of the theorem. Others are error terms.

## $O_{\mathbb{K}}$ is principle

Alexandre Lartaux

If  $\mathcal{O}_{\mathbb{K}}$  is principle.

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We can show

$$K_q(F) = \prod_{p|q} K_p(F),$$

and for all  $p \in \mathcal{P}$ ,

$$\mathcal{K}_{p}(F) = \lim_{k \to \infty} \frac{1}{p^{4k}} \Big| \Big\{ (\boldsymbol{x}, y, z, t) \in (\mathbb{Z}/p^{k}\mathbb{Z})^{5} : F(\boldsymbol{x}) \equiv P(y, z, t) \bmod p^{k} \Big\} \Big|.$$

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## $O_{\mathbb{K}}$ is principle

The explicit expression of  $K_q(F)$  found in the main theorem is

$$\mathcal{K}_q(\mathcal{F}) = \lim_{k \to \infty} \frac{3}{q^{2k}} \Big| \Big\{ \mathbf{x} \in (\mathbb{Z}/q^k\mathbb{Z})^2 : \mathcal{F}(\mathbf{x}) \in \mathcal{E}_{q^k} \Big\} \Big|,$$
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and

$$G_1 := \operatorname{Ker}(\chi) \subset (\mathbb{Z}/q\mathbb{Z})^{\times}.$$

# $\mathcal{O}_{\mathbb{K}}$ is principle

### Lemma

Let  $n \in \mathbb{N}^*$  such that (n,q) = 1. The following properties are equivalent.

• 
$$\chi(n) = 1$$
,

• Exists  $(y, z, t) \in \mathbb{Z}^3$  such that

 $n \equiv P(y, z, t) \bmod q.$ 

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$$\left|\left\{(y,z,t)\in (\mathbb{Z}/p^n\mathbb{Z})^3: P(y,z,t)=A\right\}\right|$$

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The second property is local, so it allows us to break  $K_q(F)$  into a product of  $K_p(F)$ .  $K_p(F)$  are given by an explicit computation of

$$\left\{ (y,z,t) \in (\mathbb{Z}/p^n\mathbb{Z})^3 : P(y,z,t) = A \right\}$$

for  $p \mid q$ . This computation uses decomposition of  $pO_{\mathbb{K}}$  into prime factors and the fact that all ideals are principle.

$$\mathcal{K}_{\rho}(F) = \left(1 - \frac{\chi(\rho)}{\rho}\right) \left(1 - \frac{\chi^2(\rho)}{\rho}\right) \sum_{k \ge 0} \frac{\rho_F^+(\rho^k)}{\rho^{2k}} (\chi * \chi^2)(\rho^k).$$

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As for  $p \mid q$ , to transform this expression of  $K_p(F)$ , we have to comput explicitly

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and this computation needs the knowledge of prime factor decomposition of  $pO_{\mathbb{K}}$  and the fact that the prime factors of  $pO_{\mathbb{K}}$  are principle. This prime factor decomposition is given by the value of  $\chi(p)$ .